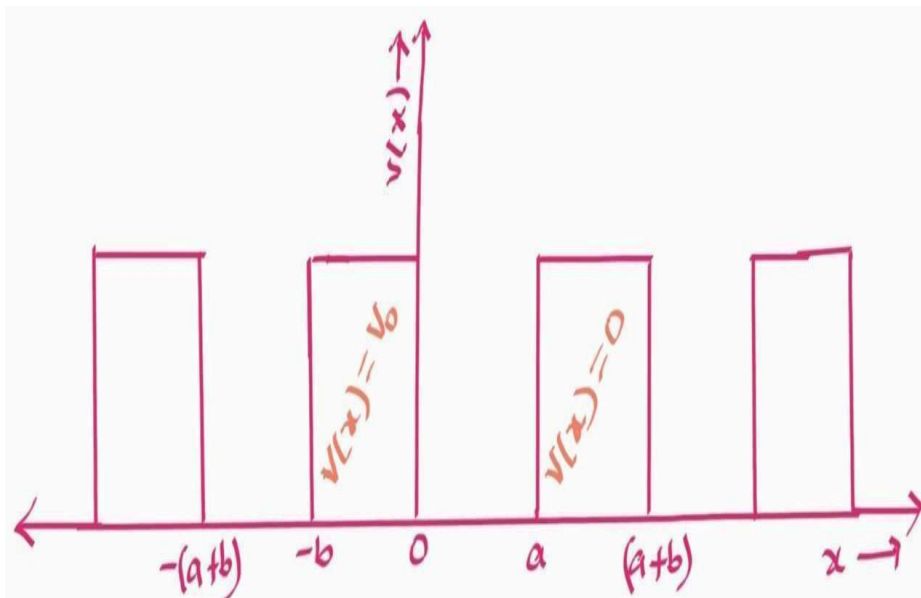


## 1.14.KRONIG-PENNEY MODEL

### Introduction:

The Kronig - Penney model was introduced in 1931 by L. Kronig and WG. Penney.

- The Kronig-Penney model is a simplified quantum mechanical model that describes an electron in a 1-D periodic potential, yields energy bands as well as energy gaps.
- The free e's in a metal move under a periodic potential due to regularly arranged +vely ions.
- The nature of energies of the e's is determined by solving Schrodinger wave equation.
- The Kronig-Penney model represents the periodic potential (a+b) in the form of regular arrays of square well potentials as shown in figure.



- In a region where  $0 < x < a$ , the Potential energy is assumed to be zero.  

$$V=0 \text{ -----(1)}$$
- In region where  $-b < x < 0$ , the Potential energy is assumed to be  $V_0$ .  

$$V=V_0 \text{ -----(2)}$$
- The Schrodinger wave equation  $\frac{\partial^2 \psi}{\partial x^2} + 8\pi^2 m(E-V) \psi/h^2$  for the above two regions are  

$$\frac{\partial^2 \psi}{\partial x^2} + 8\pi^2 m E \psi/h^2 = 0 \text{ -----(3)}$$

$$\frac{\partial^2 \psi}{\partial x^2} + 8\pi^2 m(E-V_0) \psi/h^2 = 0 \text{ ----- (4)}$$
- Let  $8\pi^2 m E/h^2 = \alpha^2 \text{ -----(5)}$   

$$8\pi^2 m(E-V_0)/h^2 = \beta^2 \text{ -----(6)}$$
- Then the (3) & (4) becomes  

$$\frac{\partial^2 \psi}{\partial x^2} + \alpha^2 \psi = 0 \text{ --- ----- (7)}$$

$$\partial^2\psi/\partial x^2 + \beta^2\psi = 0 \text{ --- (8)}$$

- **On solving (7) & (8)** by applying the Bloch function:  $\psi(x) = e^{ikx} \cdot U_k(x)$ , we get

$$P \sin(\alpha a)/\alpha a + \cos(\alpha a) = \cos(ka) \text{ ----- (6)}$$

- **where:** P is scattering power of Barrier Potential

- **From (5)**  $\Rightarrow 8\pi^2 m E / h^2 = \alpha^2$

$$E = h^2 \alpha^2 / 8\pi^2 m \text{ ----- (10)}$$

- To derive the relationship for the allowed values of electron **energies** during the motion of an electron within a crystal lattice, Kronig and Penney made the following assumptions:

(i) The energy of the electron (E) is less than the potential barrier height ( $V_0$ ).

(ii) The solutions to the Schrodinger wave equation are Bloch functions.

(iii) The wave functions and their first derivatives are continuous throughout the crystal lattice.

## Special Cases:

If  $P \rightarrow \infty$ :

- $P \sin(\alpha a)/\alpha a + \cos(\alpha a) = \cos(ka)$

$$\Rightarrow \sin(\alpha a)/\alpha a + \cos(\alpha a)/P = \cos(ka)/P \text{ ----- (11)}$$

- But  $P = \infty \Rightarrow \sin(\alpha a)/\alpha a = 0$

$$\sin(\alpha a) = 0$$

$$\sin(\alpha a) = \sin n\pi \text{ (since } \sin n\pi = 0)$$

$$\alpha a = n\pi$$

$$\alpha = n\pi/a \text{ and}$$

$$\alpha^2 = n^2 \pi^2 / a^2 \text{ ----- (12)}$$

- **But from (5) & (12)**  $\Rightarrow 8\pi^2 m E / h^2 = n^2 \pi^2 / a^2$

$$E = n^2 h^2 / 8ma^2$$

- **when  $P \rightarrow \infty \Rightarrow E = n^2 h^2 / 8ma^2$  ----- (13)**

- This equation (13) is the special case of electrons trapped.

ii) If  $P \rightarrow 0$ :

- **From (6):**  $\cos(\alpha a) = \cos(ka)$

$$\alpha a = ka \text{ and}$$

$$\alpha^2 = k^2 \text{ ----- (14)}$$

- **From (10) & (14):**  $E = \hbar^2 k^2 / 8\pi^2 m$  -----(15)

- **Since**  $k = 2\pi/\lambda \Rightarrow k^2 = 4\pi^2/\lambda^2$

$$E = \hbar^2 / 8\pi^2 m \times 4\pi^2 / \lambda^2$$

$$E = \hbar^2 / 2m \times 1/\lambda^2$$

$$E = \hbar^2 / 2m \times 1/(\hbar^2/p^2) \quad (\because \lambda = \hbar/P \Rightarrow \lambda^2 = \hbar^2/P^2)$$

$$E = \hbar^2 / 2m \times mv^2 / \hbar^2 \quad (\because P = mv \Rightarrow Pm = mv^2)$$

$$E = 1/2 mv^2 \quad \text{-----}(16)$$

- Total energy  $E = K.E + P.E$

$$E = 1/2 mv^2 \quad (\because P.E = V = 0)$$

- When  $P \rightarrow 0 \Rightarrow E = 1/2 mv^2$  ----- (17)
- This is a special case of free electrons moving throughout the lattice.

**Conclusion:** Finally, we conclude that:

- Electrons in solids are permitted to be allowed energy bands separated by forbidden energy gaps.
- Allowed energy band widths increases with increase in Energy
- $P \rightarrow \infty$  is the case of electron trapped and
- $P \rightarrow 0$  is the case of free electron moves throughout the lattice.

**Applications of Kronig-Penney Model:**

- It is used in the development of semiconductor chips.
- It is used to select the correct material according to the need in the manufacturing of different electronic devices.
- It is used to understand the behavior of material.
- It is used to Identify the nature of material.