

1.16.EFFECTIVE MASS OF ELECTRON (m^*)

Definition: The mass of the electron, when it is accelerated in a periodic potential with the help of an electric field is called the effective mass of the electron.

- Actually, the mass of an electron is varied when it is placed in a periodic potential and this varying mass is an effective mass of the electron. So, it depends on electron location.
- The effective mass is denoted by m^* and it is -ve (or) +ve (or) infinite.
- It is given by $m^* = \hbar^2/(d^2E/dk^2)$

Derivation:

- Let us consider the free electron as a wave packet, then the group velocity (V_g) corresponding to angular velocity of a particle is

$$V_g = d\omega/dk \text{ ----- (1)}$$

where: $k \rightarrow$ wave vector

$\omega \rightarrow$ Angular velocity of electron

- **Since $\omega = 2\pi\nu \rightarrow d\omega = 2\pi d\nu$**

$$V_g = 2\pi d\nu/dk \text{ ----- (2)}$$

- **Since $E = h\nu \Rightarrow \nu = E/h \Rightarrow d\nu = dE/h$**

$$V_g = 2\pi/h dE/dk \text{ ----- (3)}$$

- **But acceleration** of an electron,

$$a = dv_g/dt$$

$$= 2\pi/h. d/dt (dE/dk)$$

$$= 2\pi/h. d^2E/dk^2. 1/dt$$

$$a = 1/\hbar d^2E/dk^2 dk/dt \text{ ----- (4)}$$

- We have, wave propagation vector

$$k = 2\pi/\lambda$$

$$k = 2\pi/(h/p) = 2\pi p/h \quad (\because \lambda = h/p)$$

$$k = p/(h/2\pi) \Rightarrow k = p/\hbar \Rightarrow p = \hbar k \text{ \& } dp/dt = \hbar dk/dt$$

- **Since** $dp/dt = F$

$$F = \hbar dk/dt \text{ ----- (5)}$$

- **But** $F = m^*a$ ----- (6)

- **From (4) & (6):** $F = m^* 1/\hbar d^2E/dk^2 dk/dt$ ---- (7)

- **And from (5) & (7):** $m^* 1/\hbar d^2E/dk^2 dk/dt = \hbar dk/dt$

$$m^* = \hbar^2/(d^2E/dk^2) \text{ ----- (8)}$$

- This is the equation for effective mass of electrons.

Special Cases:

- If d^2E/dk^2 is positive, then effective mass is positive.
- If d^2E/dk^2 is negative, then effective mass is negative.
- If $d^2E/dk^2 = 0$ then effective mass becomes infinity (∞).